



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Loren D. Mock

Serial No.: 10/699,749

Filed: November 3, 2003

Art Unit: 3634

Confirmation No.: 4254

For: **SECTIONAL OVERHEAD GARAGE DOOR HAVING THE SIMULATED  
APPEARANCE OF A CARRIAGE HOUSE DOOR**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.56, counsel wishes to make of record the attached items of information for the Examiner's consideration in connection with this application. Also enclosed is a form PTO-1449 for the Examiner's convenience in making such consideration of record. Inclusion herein of any particular item of information is not to be construed as an admission that same is prior art.

**The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 09-0528.**

Respectfully submitted,

Jeffrey R. McFadden

Reg. No. 46,916

WOMBLE CARLYLE SANDRIDGE & RICE, PLLC

P.O. Box 7037

Atlanta, GA 30357

Tel: 336-574-8060

Fax: 336-574-4514

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS DOCUMENT IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL, IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450, ON 2-24-04 (Date of Deposit)

Date: 2.19.04  
File No.: A10019 1010.1 (24.9)

Nichol Neal  
Name of Depositor

Nichol Neal  
Signature

2-24-04  
Date of Signature



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DKT NO. A10019.1010.1 (24.9)		SERIAL NO. 10/699,749			
		APPLICANT Loren D. Mock					
		FILING DATE November 3, 2003		GROUP 3634			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT							
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CL.	SUBCL.	FILING DATE IF APPROP.
	AA	4,746,383	5/1988	Bacon et al.	156	79	
	BB	4,779,325	10/1988	Mullet	29	460	
	CC	5,125,155	6/1992	Kyle et al.	29	897.32	
	DD	5,177,868	1/1993	Kyle et al.	29	897.32	
	EE	5,435,108	7/1995	Overholt et al.	52	309.11	
	FF	5,709,259	1/1998	Lewis et al.	160	201	
	GG	6,148,896	11/2000	Pinto et al.	160	229.1	
	HH	6,446,695	9/2002	Forsland	160	201	
	II	6,586,085	7/2003	Jella	428	319.1	2/2001
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NO.	DATE	COUNTRY	CL.	SUBCL.	TRANSLATION
							YES NO
<b>OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent pages, etc.)</b>							
	A	Clipay Reserve Collection brochure, Semi-Custom Series, Clipay Corporation, date unknown, available as of filing date					
	B	Series 7000 Garage Doors brochure, Wayne-Dalton Corp., January 2003					
	C	The Ranch House Collection brochure, Overhead Door Corporation, 2003					
EXAMINER							
DATE CONSIDERED							
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

(Form PTO-1449)

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DKT NO. A10019.1010.1 (24.9)		SERIAL NO. 10/699,749	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Loren D. Mock						
		FILING DATE November 3, 2003			GROUP 3634			

  

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CL.	SUBCL.	FILING DATE IF APPROP.	

  

FOREIGN PATENT DOCUMENTS							
DOCUMENT NO.	DATE	COUNTRY	CL.	SUBCL.	TRANSLATION		
						YES	NO

  

OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent pages, etc.)		
D	Carriage House Door Company brochure, date unknown, available as of filing date	
E	Heritage Classic series, Northwest Door Inc., date unknown, available as of filing date	
F	Manor House Collection, Ankmar Door Manufacturing, Inc., date unknown, available as of filing date	

  

EXAMINER	DATE CONSIDERED
----------	-----------------

  

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DKT NO. A10019.1010.1 (24.9)		SERIAL NO. 10/699,749	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Loren D. Mock						
		FILING DATE November 3, 2003			GROUP 3634			

  

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CL.	SUBCL.	FILING DATE IF APPROP.

  

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NO.	DATE	COUNTRY	CL.	SUBCL.	TRANSLATION	
							YES	NO

  

OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent pages, etc.)		
G		The Carriage House Collection, C.H.I. Overhead Doors, 2003
H		The Estate Collection, Ankmar Door Inc., date unknown, available as of filing date
I		The Clopay Reserve Collection; Custom Series, Clopay Corporation, November 2002

  

EXAMINER	DATE CONSIDERED
----------	-----------------

  

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DKT NO. A10019.1010.1 (24.9)		SERIAL NO. 10/699,749	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Loren D. Mock						
		FILING DATE November 3, 2003			GROUP 3634			

  

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CL.	SUBCL.	FILING DATE IF APPROP.

  

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NO.	DATE	COUNTRY	CL.	SUBCL.	TRANSLATION	
							YES	NO

  

OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent pages, etc.)		
J	The Model A, Garage Doors Incorporated, date unknown, available as of filing date	
K	The Model T, Garage Doors Incorporated, date unknown, available as of filing date	
L	The Model A, Garage Doors Incorporated, date unknown, available as of filing date	

  

EXAMINER	DATE CONSIDERED
----------	-----------------

  

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[illegible][illegible]

	M	Sensational Sectionals, 1 <sup>st</sup> United Door Technologies, date unknown, available as of filing date
	N	The Carriage House Collection, C.H.I. Overhead Doors, 2003
	O	Customized Poly-Vinyl Garage Doors, New England Overhead Door Inc., date unknown, available as of filing date

DATE CONSIDERED

WINSTON 1287111v1

[illegible][illegible]

P	Garage Doors, Marathon Door Manufacturing, date unknown, available as of filing date
Q	Ridge Garage Doors, Ridge Nassau Corporation, date unknown, available as of filing date
R	Handcrafted Designs, General American Door Company, date unknown, available as of filing date

DATE CONSIDERED

WINSTON 1287111v1

[illegible][illegible]

S	Custom and Semi-Custom Wood Garage Doors, Reserve Collection, Clopay Corporation, website print-out dated 8/18/03
T	Garage Door Window Options, Clopay Corporation, website print-out dated 8/18/03
U	Garage Door Panel Arrangement, Clopay Corporation, website print-out dated 8/18/03

DATE CONSIDERED

WINSTON 1287111v1



FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DKT NO. A10019.1010.1 (24.9)		SERIAL NO. 10/699,749	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Loren D. Mock						
		FILING DATE November 3, 2003			GROUP 3634			

  

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CL.	SUBCL.	FILING DATE IF APPROP.

  

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NO.	DATE	COUNTRY	CL.	SUBCL.	TRANSLATION	
							YES	NO

  

OTHER DOCUMENTS (Incl. Author, Title, Date, Pertinent pages, etc.)		
V		Garage Doors, Wayne-Dalton Corp., date unknown, available as of filing date
W		Carriage House Steel Series Doors, 1 <sup>st</sup> United Door Technologies, date unknown, available as of filing date
X		Carriage House Series, 1 <sup>st</sup> United Door Technologies, date unknown, available as of filing date

  

EXAMINER	DATE CONSIDERED
----------	-----------------

  

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.